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NOTICE OF ALLOWANCE AND FEE(S) DUE

51294

7590

10/08/2008

HOLLINGSWORTH & FUNK, LLC 8009 34TH AVE S. SUITE 125 MINNEAPOLIS, MN 55425 EXAMINER

FOREMAN, JONATHAN M

ART UNIT PAPER NUMBER

3736

DATE MAILED: 10/08/2008

	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
_	10/041,911	01/07/2002	Frank E. Manning	GUID.038US01	4087

TITLE OF INVENTION: STEERABLE GUIDE CATHETER WITH PRE-SHAPED ROTATABLE SHAFT

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/08/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

appropriate. All further correspondence including the Patent, advance orders a indicated unless corrected below or directed otherwise in Block 1, by (a) specimintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)			Note	e: A certificate of	mailin	g can only be used for	or domestic mailings of the for any other accompanying
		pape	rs. Each additiona	ıl papeı	, such as an assignme iling or transmission.	ent or formal drawing, mus	
51294 7590 10/08/2008						e of Mailing or Trans	mission
8009 34TH AVI SUITE 125		I he State addr trans	reby certify that thes Postal Service wessed to the Mail smitted to the USP	is Fee(vith suf Stop TO (57	s) Transmittal is being ficient postage for fir ISSUE FEE address 1) 273-2885, on the d	g deposited with the Unitest class mail in an envelop above, or being facsimilate indicated below.	
MINNEAPOLIS	S, MN 55425						(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR		ATTC	RNEY DOCKET NO.	CONFIRMATION NO.
10/041,911	01/07/2002		Frank E. Manning		(GUID.038US01	4087
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nonprovisional	NO	\$1510	\$300	\$0		\$1810	01/08/2009
EXAM	MINER	ART UNIT	CLASS-SUBCLASS				
FOREMAN, J	ONATHAN M	3736	600-585000				
1. Change of correspond CFR 1.363).	ence address or indication	n of "Fee Address" (37	2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1				
	oondence address (or Cha	nge of Correspondence					
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
PLEASE NOTE: Un	less an assignee is ident	ified below, no assignee	data will appear on the pa T a substitute for filing an	atent. If an assign	ee is io	dentified below, the d	ocument has been filed fo
(A) NAME OF ASSI	•	netion of this form is 140	(B) RESIDENCE: (CITY	· ·	COUNT	CRY)	
` ,						,	
Please check the appropri	riate assignee category or	categories (will not be pr	rinted on the patent): \Box	Individual 🖵 Co	orporat	on or other private gro	oup entity 🖵 Governmen
4a. The following fee(s)	are submitted:	41	b. Payment of Fee(s): (Plea	se first reapply ar	ny prev	viously paid issue fee	shown above)
☐ Issue Fee☐ Publication Fee (No small entity discount permitted)			☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached.				
Advance Order - # of Copies			The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any				
			overpayment, to Depo	sit Account Numbe	er	(enclose a	n extra copy of this form).
5. Change in Entity Sta a. Applicant claim	i tus (from status indicated is SMALL ENTITY statu	· · · · · · · · · · · · · · · · · · ·	☐ b. Applicant is no long	ger claiming SMAI	LL EN'	ΓΙΤΥ status. See 37 C	FR 1.27(g)(2).
NOTE: The Issue Fee an	d Publication Fee (if requ		d from anyone other than t	-			
Authorized Signature				Date			
Typed or printed name				-			
This collection of inform an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, Virginia 22:	itiality is governed by 35 d application form to the ions for reducing this but /irginia 22313-1450. DC	FR 1.311. The informatic U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the ONOT SEND FEES OR	on is required to obtain or r 1.14. This collection is est depending upon the indiv e Chief Information Office COMPLETED FORMS TO	etain a benefit by t imated to take 12 i idual case. Any co r, U.S. Patent and D THIS ADDRESS	he pub minute: ommen Trader S. SEN	lic which is to file (and s to complete, includir is on the amount of ti- mark Office, U.S. Dep D TO: Commissioner	d by the USPTO to process ng gathering, preparing, an me you require to complet artment of Commerce, P.C for Patents, P.O. Box 1450

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8009 34TH AVE S			ART UNIT	PAPER NUMBER	
SUITE 125 MINNEAPOLIS, N	MN 55425		3736 DATE MAILED: 10/08/200	8	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
Examiner-Initiated Interview Summary	10/041,911	MANNING ET AL.	
Examiner initiated interview duminary	Examiner	Art Unit	
	JONATHAN ML FOREMAN	3736	
All Participants:	Status of Application:	_	
(1) <u>JONATHAN ML FOREMAN</u> .	(3)		
(2) <u>Paul Sherburne</u> .	(4)		
Date of Interview: 30 September 2008	Time:		
Type of Interview: ☐ Telephonic ☐ Video Conference ☐ Personal (Copy given to: ☐ Applicant ☐ Applicant Exhibit Shown or Demonstrated: ☐ Yes ☐ No If Yes, provide a brief description:	ant's representative)		
Part I.			
Rejection(s) discussed:			
Claims discussed: 1 and 24			
Prior art documents discussed:			
Part II. SUBSTANCE OF INTERVIEW DESCRIBING THE GENE	RAL NATURE OF WHAT WAS	DISCUSSED:	
Applicant agreed to the attached Examiner's Amendment.			
Part III.			
 It is not necessary for applicant to provide a separate directly resulted in the allowance of the application. The of the interview in the Notice of Allowability. It is not necessary for applicant to provide a separate did not result in resolution of all issues. A brief summar 	e examiner will provide a writte record of the substance of the	en summary of the substance interview, since the interview	
/J. M. F./ Examiner, Art Unit 3736	Applicant/Applicant's Representat	ive Signature – if appropriate)	